



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3748
#

In re application of:

PETTIS *et al.*

Application No. 09/606,909

Filed: June 29, 2000

For: INTRADERMAL DELIVERY OF
SUBSTANCES

Group Art Unit: 3748

Examiner: Binh Q. TRAN

Atty. Docket No. P-4901

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.56 and 1.97.

In order to comply with the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted herewith is a form listing the documents cited in the International Search report of International Application Nos. PCT/US01/20763 (AC, AD, AG, AK, BE); PCT/US01/20782 (AB, BH, AR, AA); and PCT/US01/50440 (AU, AO, AZ, BI, BJ). The relevance of these documents is indicated in the International Search Report. The remaining references (AE-AF, AH-AJ, AL-AN, AP-AQ, AS-AT, AV-AY, BA-BD, BF-BG and BK-BS) are being submitted for the Examiner's consideration. An English language translation has been provided for document BL so no further statement of relevance need be given.

The submission of this Information Disclosure Statement and the accompanying form PTO/SB/08A should not be construed as an admission that the cited references are prior art to the subject application.

The present Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, and therefore a fee of \$180.00 is required. Please charge the required fee, including any deficiencies in the amount believed to be due, to Becton, Dickinson and Company Deposit Account No. 02-1666.

It is respectfully requested that the Examiner consider the cited documents and make them of record.

Date: November 8, 2002

Respectfully submitted,

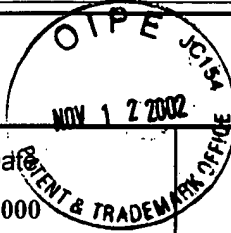


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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
P-4901

In Re Application Of: **PETTIS, et al.**



Serial No.
09/606,909

Filing Date
6/29/2000

Examiner
Binh Q. Tran

Group Art Unit
3748

Title: **Intradermal Delivery of Substances**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

P-4901

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Group Art Unit

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Intradermal Delivery of Substances

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1666 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited November 8, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Yaminah Sharif

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Eric M. Lee
Signature

Dated: November 8, 2002

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